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MAY - 8 1998
PATENT & TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

ADM APPLICATION NUMBER 037004157 FILING/RECEIPT DATE PAGE FIRST NAMED APPLICANT ATTORNEY DOCKET NO/TITLE 13

THOMAS J. MCFARLANE
LUMEN INTELLECTUAL PROPERTY SERVICES
426 LOWELL AVENUE
PALO ALTO CA 94301

0362/0415

NOT ASSIGNED

2772

04/15/98

UN 154 2098 DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION

No Filing Date

(Enclosure to Form PTO-1123)

The required items noted below SHOULD be filed along with any items required on the "Notice of Incomplete Application." The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." The items noted below must be filed no later than TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION. If any of items 1 and 3 through 5 below are submitted after the filing date accorded this application, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form and on the "Notice of Incomplete Application" are filed together, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 795.00.

1. The statutory basic filing fee is:

missing or unexecuted.
 insufficient.

Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a small entity statement claiming such status. (37 CFR 1.27).

2. Additional claim fees of

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ 270.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the items required on "Notice of Incomplete Application."

does not identify the application to which it applies.

does not include the post office address and the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and filing date is required.

4. The signature(s) to the oath or declaration is/are by a person other than the inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and filing date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and filing date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. The application does not comply with the Sequence Rules.

See attached "Notice To Comply with Sequence Rules - 37 CFR 1.821 - 1.825."

8. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

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A copy of this notice MUST be returned with the reply.

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